

Lexmark International, Inc.
Supplier Certification Form for Packaging

Supplier Name:
Contact Name:
Supplier Address:

Phone:
Fax:
E-mail:

In order to be compliant with EU and US requirements for packaging, all packaging must meet the European standards (Essential Requirements) for source reduction, heavy metals, dangerous substances, and recovery (as specified in Annex II of Directive 94/62/EC on Packaging and Packaging Waste as amended by 2004/12/EC) as well as US heavy metals limits. The US CONEG Model Legislation can be found at: www.toxicsinpackaging.org/
The full text of EU Directive 94/62/EC can be viewed at: [94/62/EC as amended](#)

The CEN Standards and Reports referenced below should be used to assess packaging for compliance with the EU Essential Requirements. The CEN Standards and Reports can be purchased at the following web sites: the Finnish Standards Association, SFS, at <http://sales.sfs.fi/> or the British National Standards Body, BSI, at www.bsonline.bsi-global.com/ The CONEG Test Methods can be found at: <http://www.toxicsinpackaging.org/>

To demonstrate that your company is in compliance, please complete and sign this certification form. Then scan and email to your Lexmark product representative or mail/fax it to:

Corporate Product Compliance Program Manager
Environmental, Health and Safety
H0D9237 / Bldg. 001-2
740 W New Circle Road
Lexmark, KY 40550

Fax: (859) 232-3266

Certifications sent either Fax or Email must be scanned copies of signed forms.

Ozone-depleting substances

Please review and sign the following:

I hereby certify that none of the packaging and packaging components supplied to Lexmark International by the undersigned is comprised of, or is produced using, ozone-depleting substances. A list of restricted ozone-depleting substances can be found in the latest version of Lexmark's Supplier and Interplant Packaging and Handling Specification.

Name_____ Signature_____ Date_____ Title_____

Source Reduction (EN 13428:2004)

First published in the Official Journal of the EU in 2000

Minimum Adequate Weight and/or Volume of Packaging

Please review and sign the following:

We maintain a statement of conformity, which demonstrates compliance with the source reduction standards consistent with 94/62/EC and EN 13428:2004 and can submit this demonstration of compliance within ten (10) business days of notification.

Name_____ Signature_____ Date_____ Title_____

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Heavy Metals

Please review and sign the following:

I hereby certify that all packaging and packaging components supplied to Lexmark comply, in all respects, to the requirements for heavy metals content as stated in Article 11 of the European Union's Directive 94/62/EC on packaging and packaging waste as amended by 2004/12/EC and US CONEG model legislation. That is, the sum of the incidental concentration levels of Cadmium, Mercury, Lead and Hexavalent Chromium present in packaging or packaging components must not exceed 100 ppm, and where regulated metals are present at levels \leq 100 ppm, were **not intentionally added** during the manufacturing process. (The procedure is explained in CR 13695-1:2000)

We maintain a statement of conformity, which demonstrates compliance with the heavy metal standards consistent with 94/62/EC and can submit this demonstration of compliance within ten (10) business days of notification.

Name _____ Signature _____ Date _____ Title _____

Dangerous Substances

A Safety Data Sheet, if provided, lists dangerous substances, and includes composition and information on ingredients.

This company has identified any substance identified as dangerous (as so classified in Annex 1 of the EC Directive 67.548 (and its amendments) with the symbol 'N'), present in any of its packaging components and, if present, has minimized its use. (The methodology is explained in CEN/TR 13695-2:2004.)

The Annex I chemicals list is available at: <http://ecb.jrc.it/esis>

- To access the list of Annex I chemicals from Directive 67/548/EEC, select the C & L tab; from the drop-down, select "Download Annex I", then choose the appropriate language and Open.

To determine if a specific substance is classified as dangerous ("N" class substances), refer to the following web site: <http://apps.kemi.se/nclass/default.asp>

Substances identified as dangerous that are present in packaging supplied by us are listed below:

Component # and Description	Substance Identified as Dangerous	List concentration levels if > 1000 ppm

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Please review and sign the following:

I hereby certify that all packaging and packaging components supplied to the Lexmark comply, in all respects, to the requirements for dangerous substances as stated in Annex II, paragraph 1 of the European Union's Directive 94/62/EC on packaging and packaging waste as amended by 2004/12/EC (procedures found in CEN/TR 13695-2:2004).

We maintain a statement of conformity, which demonstrates compliance with the dangerous substances requirements consistent with 94/62/EC and can submit this demonstration of compliance within ten (10) business days of notification.

Name_____ Signature_____ Date_____ Title_____

Recovery (EN 13430:2004, EN 13431:2004, EN 13432:2000)

Please review and sign the following:

We maintain a statement of conformity, which demonstrates compliance with the standards for **one or more** of the following required recovery routes - material recovery (EN 13430), energy recovery (EN 13431), and organic recovery (EN 13432). Demonstration of compliance can be submitted within ten (10) business days of notification.

Name_____ Signature_____ Date_____ Title_____

Chlorine

Elemental chlorine shall not be used as a bleaching agent to bleach virgin or recovered content fibers used in product packaging.

I hereby certify that all packaging and packaging components supplied to the Lexmark comply with this statement.

Name_____ Signature_____ Date_____ Title_____

Recovered Content in fiber based packaging materials

The following packaging materials – paperboard, corrugated fiberboard, solid fiberboard, and spiral wound tubes – shall meet or exceed the minimum total recovered fiber content specified in the table below.

Category	Comments / examples	Total recovered fiber content ^a
Paperboard	Boxboard Chipboard Barrier board Carton board	80%
Corrugated fiberboard ^b	Container board Linerboard Corrugated medium	25%
Solid fiberboard	—	40%
Spiral wound tubes	Comprised of paper only	90%

^b Required content based on weighted average (by weight) of all components in packaging part (e.g., liners and mediums in corrugated).

^a Calculated as percent of total packaging part weight over the course of a year using a weighted average.

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I hereby certify that all packaging and packaging components supplied to the Lexmark comply with this statement.

Name_____ Signature_____ Date_____ Title_____

Conformity Assurance System

We maintain a conformity assurance system which is will demonstrate conformity for both the intentionally added and incidental presence requirements described in this letter. A description and documentation of the conformity assurance system is available and we can submit this demonstration of compliance within ten (10) business days of notification.

This conformance system adequately provides control of the supply chain to the applicable level of incidental presence and the conformance assurance system includes a Plan-Do-Check-Act process.

Name_____ Signature_____ Date_____ Title_____